

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X

SONTERRA CAPITAL MASTER FUND LTD.,	:	
FRONTPOINT EUROPEAN FUND, L.P.,	:	
FRONTPOINT FINANCIAL SERVICES FUND,	:	
L.P., FRONTPOINT HEALTHCARE FLAGSHIP	:	Case No. 15-cv-00871 (SHS)
ENHANCED FUND, L.P., FRONTPOINT	:	
HEALTHCARE FLAGSHIP FUND, L.P.,	:	ECF CASE
FRONTPOINT HEALTHCARE HORIZONS	:	
FUND, L.P., FRONTPOINT FINANCIAL	:	
HORIZONS FUND, L.P., FRONTPOINT UTILITY	:	RULE 7.1 CORPORATE DISCLOSURE
AND ENERGY FUND L.P., HUNTER GLOBAL	:	STATEMENT ON BEHALF OF
INVESTORS FUND I, L.P., HUNTER GLOBAL	:	DEFENDANT INTERCAPITAL
INVESTORS OFFSHORE FUND LTD., HUNTER	:	CAPITAL MARKETS LLC
GLOBAL INVESTORS SRI FUND LTD., HG	:	
HOLDINGS II LTD., HG HOLDINGS II LTD.,	:	
FRANK DIVITTO, RICHARD DENNIS, and the	:	
CALIFORNIA STATE TEACHERS'	:	
RETIREMENT SYSTEM on behalf of themselves	:	
and all others similarly situated,	:	
	:	
Plaintiffs,	:	
	:	
v.	:	
	:	
CREDIT SUISSE GROUP AG, CREDIT SUISSE	:	
AG, JPMORGAN CHASE & CO., THE ROYAL	:	
BANK OF SCOTLAND PLC, UBS AG,	:	
DEUTSCHE BANK AG, DB GROUP SERVICES	:	
UK LIMITED, TP ICAP PLC, TULLETT PREBON	:	
AMERICAS CORP., TULLETT PREBON (USA)	:	
INC., TULLETT PREBON FINANCIAL	:	
SERVICES LLC, TULLETT PREBON (EUROPE)	:	
LIMITED, COSMOREX AG, ICAP EUROPE	:	
LIMITED, ICAP SECURITIES USA LLC, NEX	:	
GROUP PLC, INTERCAPITAL CAPITAL	:	
MARKETS LLC, GOTTEX BROKERS SA,	:	
VELCOR SA AND JOHN DOE NOS. 1-50,	:	
	:	
Defendants.	:	
	:	
	:	
-----	:	X

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel of record for defendant Intercapital Capital Markets LLC hereby states as follows:

Intercapital Capital Markets LLC is a wholly owned subsidiary of NEX Group Investments, Inc., which is not a publicly traded company. Intercapital Capital Markets LLC is indirectly wholly owned by NEX Group plc, a publicly traded company.

Dated: January 23, 2018
New York, New York

RICHARDS KIBBE & ORBE LLP

By: /s/ Shari A. Brandt
Shari A. Brandt
sbrandt@rkollp.com
H. Rowan Gaither
rgaither@rkollp.com
Matthew M. Riccardi
mriccardi@rkollp.com
T. Jakob Sebrow
jsebrow@rkollp.com
200 Liberty Street
New York, NY 10281-1003
Telephone: 212.530.1800
Facsimile: 212.530.1801

*Attorneys for Defendant Intercapital Capital
Markets LLC*